

### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

22927

7590

08/24/2004

WALKER DIGITAL FIVE HIGH RIDGE PARK STAMFORD, CT 06905 EXAMINER

ASHBURN, STEVEN L

ART UNIT

PAPER NUMBER

3714

DATE MAILED: 08/24/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/623,046	07/18/2003	Jay S. Walker	97-035-C2	6440

TITLE OF INVENTION: METHOD AND APPARATUS FOR OPERATING LOTTERIES AND FOR GENERATING AND PROCESSING LOTTERY ENTRIES

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	11/24/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax

(703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

	E ADDRESS (Note: Use Block 1 for 1990 08/24/2004	any change of address)		Note: A certificate of Fee(s) Transmittal. T papers. Each addition have its own certification.	of mailing can only be used f his certificate cannot be used nal paper, such as an assignm the of mailing or transmission.	or domestic mailings of the for any other accompanying ent or formal drawing, must	
WALKER DIGIT FIVE HIGH RIDG STAMFORD, CT (	ΓAL E PARK			C	ertificate of Mailing or Transmission. ertificate of Mailing or Transmittal is bein with sufficient postage for final ill Stop ISSUE FEE address PTO (703) 746-4000, on the of the control of the con	smission	
						(Depositor's name)	
						(Signature)	
						(Date)	
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10/623,046	07/18/2003		Jay S.	Walker	97-035-C2	6440	
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nonprovisional	YES	\$665		\$300	\$965	11/24/2004	
EXAM	INER	ART UN	IT	CLASS-SUBCLASS	7		
ASHBURN,	STEVEN L	3714		463-017000	_		
"Fee Address" indicati PTO/SB/47; Rev 03-02 o Number is required.  3. ASSIGNEE NAME AND	ence address (or Change of C2) attached. ion (or "Fee Address" Indicator more recent) attached. Use RESIDENCE DATA TO BI an assignee is identified bel 37 CFR 3.11. Completion o	correspondence ion form of a Customer  E PRINTED ON 1 ow, no assignee f this form is NO	(1) the na or agents (2) the na registered 2 registered listed, no THE PATEN data will app r a substitute	nting on the patent front page, I mes of up to 3 registered pate OR, alternatively, me of a single firm (having as attorney or agent) and the named patent attorneys or agents. I name will be printed.  I (print or type)  Dear on the patent. If an assig for filing an assignment.  CE: (CITY and STATE OR CO	a member a nes of up to f no name is 3	ocument has been filed for	
Please check the appropriate	assignee category or categor	es (will not be pri	inted on the p	oatent): 🗖 Individual 🗖 C	Corporation or other private gro	oup entity Government	
4a. The following fee(s) are e	enclosed:	4b	. Payment of	Fee(s):			
☐ Issue Fee			A check in the amount of the fee(s) is enclosed.				
	nall entity discount permitted		Payment by credit card. Form PTO-2038 is attached.				
	Copies		The Direction Deposit Acc	ector is hereby authorized by count Number	charge the required fee(s), or (enclose an extra co	credit any overpayment, to opy of this form).	
5. Change in Entity Status (	from status indicated above)						
	1ALL ENTITY status. See 3		<b>□</b> b. Applic	ant is no longer claiming SMA	LL ENTITY status. See 37 Cl	FR 1.27(g)(2).	
NOTE: The Issue Fee and Puinterest as shown by the reco	blication Fee (if required) wirds of the United States Pater	Hee and Publicat Il not be accepted it and Trademark	on Fee (if ar from anyone Office.	y) or to re-apply any previous e other than the applicant; a reg	ly paid issue fee to the applica istered attorney or agent; or the	tion identified above.  le assignee or other party in	
Authorized Signature				Date			
Typed or printed name			Registration No				
This collection of information an application. Confidentialit submitting the completed applits from and/or suggestions Box 1450, Alexandria, Virgina 22313-1 Under the Paperwork Reducti	n is required by 37 CFR 1.31 y is governed by 35 U.S.C. olication form to the USPTO for reducing this burden, sho is 22313-1450. DO NOT S 450.	1. The information 122 and 37 CFR 1 Time will vary uld be sent to the END FEES OR C	n is required .14. This coldepending up Chief Inform OMPLETED	to obtain or retain a benefit by lection is estimated to take 12 oon the individual case. Any cation Officer, U.S. Patent and D FORMS TO THIS ADDRES.	the public which is to file (and minutes to complete, includin omments on the amount of tir Trademark Office, U.S. Depa S. SEND TO: Commissioner in displays a valid OMB control	by the USPTO to process) g gathering, preparing, and ne you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number	



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WALKER I FIVE HIGH		K		ASHBURN,	STEVEN L
STAMFORD				ART UNIT	PAPER NUMBER
				3714	
				DATE MAIL ED: 09/24/200.	4

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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WALKER DI		K		ASHBURN,	STEVEN L	
STAMFORD, O		••		ART UNIT	PAPER NUMBER	
				3714		

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#### Notice of Fee Increase on October 1, 2004

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2004, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" because an increase in fees effective on October 1, 2004 is anticipated. See Revision of Patent Fees for Fiscal Year 2005; Proposed Rule, 69 Fed. Reg. 25861, 25863, 25864 (May 10, 2004).

The current fee schedule is accessible from WEB site (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2004 (or mailed with a certificate of mailing on or after October 1, 2004), the issue fee paid should be the fee that is required at the time the fee is paid. See Manual of Patent Examining Procedure (MPEP), Section 1306 (Eighth Edition, Rev. 2, May 2004). If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See MPEP Section 1308.01.

Effective October 1, 2004, 37 CFR 1.18 is proposed to be amended by revising paragraphs (a) through (c) to read as set forth below. As stated above, the final fee may be a different amount, and applicant should check the WEB site given above when paying the fee.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

By other than a small entity..... \$490.00

(c) Issue fee for issuing a plant patent:

By other than a small entity......\$650.00

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.